

Details of Legal Owner

Date: _____

Customer Name: _____

Constitution/Type of the customer: COMPANY

Full Name	Nationality	ID Document Type	ID Document Number	In case of Beneficial Owners, please provide the percentage of stake.

Company Secretary/Authorized Signatory

Date:/...../.....

Undertaking Letter

To Whomsoever It May Concern

Dear Sir,

I, -----, ----- National having Passport number ----- owner & UBO of (COMPANY NAME)..... hereby confirm that neither of our entities nor any of our related parties are dealing with sanctioned countries listed by UAE, United Nations, United States, European Union, or the UK, nor Owned or controlled by, or operating as agents of the Governments of **Iran, North Korea, Myanmar or Resident or domiciled in Iran, North Korea or Myanmar.**

I hereby further confirm that all our business operations have never dealt with / won't involve a sanctioned country (at present Iran, North Korea or Myanmar) or violate or to cause any economic or financial sanctions or trade embargoes implemented, administered or enforced by the United Arab Emirates, The United Nations, United States, European Union, United Kingdom or other relevant sanctions authorities.

I also confirm that neither I nor any of key person acting as nominee of any person national / residing any of the above sanctioned countries.

Finally, I confirm that the funds used to sale/purchase diamonds do not consist money which is derived from the proceeds of Criminal activities/or from means of any illegal sources.

Sincerely,

For & on Behalf of (COMPANY NAME)

Authorized signature's name

Company name

[Company stamp]

SUPPLY CHAIN DECLARATION

_____ observes highest ethical standards and best practices in carrying out business. We and our valued counterparties are mutually committed to act to attain a conflict free supply chain. Our company is committed to comply with the responsible sourcing of precious metals guidance issued by the OECD, DMCC and the LBMA.

As a part of our responsibility, _____ committed to:

1. Neither gain nor be a part of, assist and facilitate any transactions arising from serious abuses such as inhuman and degrading practices, force and child labor, any forms of human rights violations and all forms of criminal activities.
 - Immediately discontinue dealing with our suppliers where we identify a reasonable risk that their source is from, or linked to, any party committing serious abuses as defined above.
2. Not tolerate any of its suppliers which directly or indirectly support non-state armed groups through the extraction, transport, trade, handling or export of minerals which includes, but is not limited to, procuring minerals from, making payments to or otherwise providing logistical assistance or equipment to, non-state armed groups or their affiliates who:
 - i. Illegally control mine sites or otherwise control transportation routes, points where minerals are traded and upstream actors in the supply chain; and/or
 - ii. Illegally tax or extort money or minerals at points of access to mine sites, along transportation routes or at points where minerals are traded; and/or
 - iii. Illegally tax or extort intermediaries, export companies or international traders.
3. Not to accept and directly discontinue transactions with upstream suppliers which were identified as high risk due to involvement and or participation in any of the above-mentioned points.
 - Contribute and participate in the promotion of Responsible Sourcing of Precious Metals to our suppliers by:
 - i. Creating a long-term association with suppliers and established strong relationship with our customers.
 - ii. Supporting our suppliers of gold to adhere with the provision of this policy and encourage them to impart or convey it to its staff and their supply chain.
 - iii. Disseminating the precious metal gold guidance by local and international bodies in which this policy was created such as the DMCC, LBMA and OECD.
4. Not to offer, promise, give or demand any bribes, and will resist the solicitation of bribes to conceal or disguise the origin of precious metals to misrepresent taxes, fees and royalties paid to governments for the purposes of extraction, trade, handling, transport and export.
 - Play a part and contribute to elimination of money laundering and terrorist financing by creating a robust management system to act in accordance with the standard of Anti - money Laundering and Combating Financing Terrorism.
5. To inform and report to the relevant authorities any suspicious individual, entities and transactions arising upon implementing the provision of this policy.
 - Ensure that effective Due Diligence on a risk-based approach is carried before starting any transactions and continuous monitoring to existing clients to assess the level of risk and plan to mitigate the risk identified.
 - Create and keep proper and adequate records of all precious metals transaction and activities that demonstrates the due diligence has been strictly followed.
 - Train relevant staff and educate its employees through formal education, trainings or seminars and conferences with the provision of this policy and the responsible sourcing of precious metals.

Yours Sincerely,

(Company Name)

TO BE PRINTED ON COMPANY LETTERHEAD

POLITICALLY EXPOSED PERSON (PEP) DECLARATION FORM

The information in this form is collected to comply with the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities requirement.

A politically exposed person (PEP) is an individual who is or who has been entrusted with prominent public functions domestically' or by a foreign country.

Prominent public functions include the following profiles:

1. Head of State or of Government
2. Senior politician
3. Senior government, judicial or military official
4. Member of ruling royal family
5. Senior executive of state-owned corporation / government linked company.
6. Important political party official

The definition of PEP also includes immediate family members, relatives, adviser, personal adviser, or business associate of an individual stated above:

1. Are you a PEP? YES ☐ NO ☐

2. Is you or the entity related to a PEP? YES ☐ NO ☐

(Please fill the below questions if the first two questions you answered - yes)

3. If you are or related to a PEP, please indicate the profile and relationship to you:

- | | | |
|--|--------------------------|--------------------------|
| A. Head of State or of Government | ☐ | |
| B. Senior politician | ☐ | |
| C. Senior government, judicial or military official | ☐ | |
| D. Member of ruling royal family | ☐ | |
| E. Senior executive of state-owned corporation / Government linked company | | ☐ |
| F. Important political party official | ☐ | |
| G. Other | ☐ | |

I hereby declare that the details and information given above are complete and true to the best of my knowledge.

Name:

Designation:

Signature:

Date: